

**4410/EI Corporation  
Form SE-1**

The University of the State of New York  
THE STATE EDUCATION DEPARTMENT  
Office of the Professions  
Division of Professional Licensing Services  
www.op.nysed.gov

## Moral Character Attestation

This form **must** be completed by each individual who serves as a director, officer, trustee or is a shareholder or member of an entity that is applying for a waiver from the corporate practice prohibitions under section 6503-b of the Education Law. **Information submitted to the Department is maintained in a secure manner and is not subject to disclosure.**

1. Name of the entity seeking a waiver of corporate practice prohibitions:

\_\_\_\_\_

2. Please provide preferred contact information for the director, officer, trustee, shareholder, or member completing this form:

Name: \_\_\_\_\_ Title: \_\_\_\_\_

Preferred Address: \_\_\_\_\_

\_\_\_\_\_

Preferred Telephone: \_\_\_\_\_ E-mail: \_\_\_\_\_

Board term dates: From: \_\_\_\_\_ To: \_\_\_\_\_

If the entity is a business corporation or limited liability corporation, please indicate what percentage, if any, you hold:

\_\_\_\_\_

3. Are you licensed in New York State to practice any profession established under Title VIII of the Education Law?

Yes  No If yes, what profession: \_\_\_\_\_ License number: \_\_\_\_\_

4. Have you ever been found guilty after trial, or pleaded guilty, no contest, or nolo contendere to a crime (felony or misdemeanor) in any court?  Yes  No

5. Are criminal charges pending against you in any court?  Yes  No

6. If you are licensed under Title VIII, has any licensing or disciplinary authority refused to issue you a license or ever revoked, annulled, cancelled, accepted surrender of, suspended, placed on probation, refused to renew a professional license or certificate held by you now or previously, or ever fined, censured, reprimanded or otherwise disciplined you?  Yes  No

7. If licensed, are charges pending against you in any jurisdiction for any sort of professional misconduct?  Yes  No

8. Have you ever willfully failed to provide records to any State licensing authority or to Federal, State or Local law enforcement officials that are required by Federal, State or Local laws?  Yes  No

9. Have you ever held an interest or position in another entity that has had an operating certificate or authorization from a State or local government agency, or a waiver certificate under 6503-b of the Education Law revoked, suspended or denied?  Yes  No

**Note:** If you answer "Yes" to any questions numbered 4 through 9, submit a letter giving a complete explanation. Include copies of any court records, and if you possess one, a copy of the "Certificate of Relief from Disabilities" or your "Certificate of Good Conduct."

### Attestation

I affirm under penalties of perjury that, to the best of my knowledge, all statements made in this application are true.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**You must include this form with the entity's Application for Waiver (Form SE). The application will not be deemed complete and will delay the processing of the entity's application for a waiver.**