

**Certified Public Accountant
Form 6PR**

The University of the State of New York
THE STATE EDUCATION DEPARTMENT
Office of the Professions
State Board for Public Accountancy
518-474-3817 Ext. 160
cpabd@nysed.gov
www.op.nysed.gov

Peer Review, Competency, and Annual Statements

Federal Employer Identification:

Print Date:

Statement Filing Date:

Firm Number:

Firm Name:

Mailing Address:

(indicate changes
to the right)

Phone Number _____ Email Address _____

CPA responsible for peer review _____ License ID _____

Instructions - Complete Sections I, II, and III. Be sure to sign and date the affirmation and return the entire form, along with any required documentation, to the Office of the Professions at the address at the end of the form. If the firm is completing this form as part of its triennial renewal, return the 6PR along with CPA Forms 6T and 6C in the same envelope.

Section I, Peer Review Statement - Education Law Section 7410 and Regulations of the Commissioner Section 70.10

In accordance with the Mandatory Peer Review Program, a firm must participate in this program if it performs attest services.

Attest services include audits, reviews and examinations conducted under the following standards: Statements on Auditing Standards, Statements on Standards for Accounting and Review Services, Statements on Standards for Attestation Engagements, Government Auditing Standards, and audits of non-SEC issuers performed pursuant to the standards of the PCAOB.

Complete A and B as appropriate.

A. Fill out this section if the firm is not required to participate in a peer review program.

The firm is not required to participate in a peer review program because (check one):

- The firm is not required to participate in a peer review program because the firm does not provide attest services as defined in Education Law Section 7401-a(1)

B. If the firm is participating in a peer review program, provide the following:

Last Peer Review Date: _____

Last Peer Review Rating: Pass Pass with Deficiencies Fail (Must also complete Section II, C.)

Sponsoring Organization:

- AICPA Peer Review Program administered by NPRC
 AICPA Peer Review Program administered by: _____

AICPA firm number: _____

Provide your Peer Review availability method:

- Available online on the AICPA website
 Attached to this form

Is the firm registered with the PCAOB? Yes No

Section II, Competency Statement

Attest and Compilation Services Statement

A. Any licensee who supervises attest or compilation services or signs or authorizes someone to sign an accountant's report on the financial statements of a client for attest or compilation services still must meet the competency requirements as required under the Rules of the Board of Regents Section 29.10(a)(13).

Does your firm provide attest or compilation services?

Attest Yes No

Compilations Yes No

If no to both, go to Section III.

If yes to either, complete items B and C.

B. Attest and Compilation Competency Rules: Any licensee who supervises attest services or signs or authorizes someone to sign an accountant's report on the financial statements of a client for attest or compilation services must:

a. have completed at least 40 hours of continuing education in the area of accounting, auditing or attest during the prior three calendar years or in the calendar year in which the services is performed; and

b. have maintained the level of education, experience and professional conduct required by generally accepted professional standards, relating to the attest and/or compilations services performed.

Have the licensees met the competency requirements as outlined above?

Yes No

C. Failed Peer Review Rating: If the firm has a failed peer review rating, it must meet additional competency requirements.

Have the licensees had at least 1,000 hours of experience within the previous five years in providing attest services or reporting on financial statements gained through employment in government, private industry, public practice or an educational institution satisfactory to the State Board for Public Accountancy?

Yes No Not Applicable

Section III, Annual Statement - Education Law Section 7408(3)(e) and Regulations of the Commissioner Section 70.8

1. Has the firm been acquired by another firm? (If yes, include an explanation, including the name of the acquiring firm and the date acquired.) Yes No
2. Has the firm formally dissolved and terminated operations? (Firms not formally dissolved must maintain a registration. If dissolved, attach proof of dissolution, including dissolution date.) Yes No
3. Has there been any change in the firm's ownership in the last 12 months? Yes No
Note: If yes, complete, sign and attach a Certification of Ownership and Attest Competency (Form 6C).
4. Has the firm opened or closed any business locations? Yes No
If yes, attach a list of the physical address, and the mailing address if different, of each location and indicate if the change is an opening or closing.
5. Has the firm been subject to disciplinary action or been denied a registration, permit or license by another state or jurisdiction in the last 3 years? (If yes, attach an explanation.) Yes No

Affirmation

Under penalty of perjury, I affirm to the best of my knowledge that the statements made on this form are true and accurate, our firm is in compliance with the Mandatory Peer Review Program in accordance with the Education Law Section 7410, and that our licensees who supervise attest or compilation services meet the competency requirements.

Signature

Date

Printed Name

License ID

Each firm must notify the Department of its change in status within 30 days and provide the Department with evidence of enrollment in an acceptable peer review program. A change of status is either the date of the firm's initial registration or the firm's initial performance of services requiring a peer review under this program. The firm shall have a peer review completed within 18 months of the firm's initial registration or performance of services.

Mail this form to The New York State Education Department, Office of the Professions, New York State Board for Public Accountancy, 89 Washington Avenue, Albany, NY 12234.