

Moral Character Attestation of Unlicensed Shareholder, Officer, or Director for a Design Professional Corporation

This form must be completed by each unlicensed individual who is a shareholder, officer, or director of an entity that is applying for a certificate of authority as a Design Professional Corporation. Complete both pages of this form and be sure to sign and date the Attestation. This form must be submitted with the initial filing to the address at the end of the form.

1. Name of Entity: _____

2. Do you now hold, or have ever held, a license or certificate to practice any profession in any jurisdiction? (if so, list below and attach additional sheets if necessary.)

Yes No

Profession _____ License number _____ Jurisdiction _____

Profession _____ License number _____ Jurisdiction _____

Profession _____ License number _____ Jurisdiction _____

3. Have you ever been found guilty after trial, or pleaded guilty, no contest, or nolo contendere to a crime (felony or misdemeanor) in any court?

Yes No

4. Are criminal charges pending against you in any court?

Yes No

5. Has any licensing or disciplinary authority refused to issue you a license, or ever revoked, annulled, cancelled, accepted surrender of, suspended, placed on probation or refused to renew a professional license or certificate held by you now or previously, or ever fined, censured, reprimanded or otherwise disciplined you?

Yes No

6. Are charges pending against you in any jurisdiction for any sort of professional misconduct?

Yes No

7. Has any licensed facility restricted or terminated your professional training, employment or privileges or have you ever voluntarily or involuntarily resigned or withdrawn from such association to avoid imposition of such measures?

Yes No

8. Have you ever willfully failed to provide records to any State Licensing authority or to Federal, State or Local law enforcement officials that are required by Federal, State or Local laws?

Yes No

If yes, please explain: _____

NOTE: If you answer "Yes" to any questions numbered 2-8, submit a letter giving a complete detailed explanation. Include copies of any court records including a Certificate of Conviction. If there are offenses in multiple courts, please provide the same for each action. **In answering these questions, consider whether, pursuant to Executive Law § 296(16), you are required to report any arrests, criminal accusations, or dispositions of such arrests or criminal accusations.** If the court can no longer provide documentation, you must request, from the court, a letter stating why they cannot provide the documents. Minor traffic violations, charges that were dismissed, and acquittals do not come under this category.

Attestation

I affirm under penalty of perjury that, to the best of my knowledge, all statements made in this form are true.

Signature: _____ Date: _____ / _____ / _____
mo. day yr.

Print Name: _____

Title (if any): _____

Social Security Number:

--	--	--	--	--	--	--	--	--	--

Home Address: _____

Home Telephone: _____

E-mail address: _____

Return Directly to: New York State Education Department, Office of the Professions, Division of Professional Licensing Services, Professional Corporations Unit, 89 Washington Avenue, Albany, NY 12234-1000.